



Sector #

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,257	05/10/2001	Bonnie L. Bassler	PUNIV.002A

CONFIRMATION NO. 5035

20995

KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

FORMALITIES LETTER



OC000000006993628

Date Mailed: 10/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2008.
 - \$1098 for 61 total claims over 20.
 - \$640 for 8 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2848.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

Case Docket No. PUNIV.002A
Date: January 23, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Bassler, et al.
App. No. : 09/853,257
Filed : May 10, 2001
For : LUXO-SIGMA54
INTERACTIONS AND
METHODS OF USE
Group Art Unit : 1646

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. on

January 23, 2002

(Date)

Joseph J. Mallon
Joseph J. Mallon, Reg. No. 39,287

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on October 30, 2001, enclosed are:

- (X) Declarations executed by each of the Inventor(s) in four (4) pages.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) An extension of time to respond for 1 month(s) is hereby requested.

Time Extension Fee:

(X) one month (\$55 small entity)



PATENT

Case Docket No. PUNIV.002A

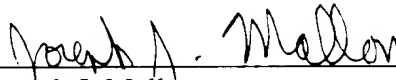
Date: January 23, 2002

(X) Fees as calculated below:

FILING FEE	\$ 2,718.00
SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL OF ABOVE CALCULATIONS	\$ 2,848.00
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.	
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 1,424.00	
FEE FOR EXTENSION OF TIME (SMALL ENTITY) 1 month	\$ +55
TOTAL FEES SUBMITTED HERewith	\$ 1,479.00

(X) A check in the amount of \$1,479.00 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees, which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Joseph J. Mallon
Registration No. 39,287
Attorney of Record